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| APPLICATION NO.  | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--|-------------|----------------------|---------------------|------------------|
| 10/601,729   | 06/23/2003  | Tom Sprinkle         | 12873/04605         | . 8729           |
| 24024 7590 06/27/2007<br>CALFEE HALTER & GRISWOLD, LLP |             |                      | EXAMINER            |                  |
| 800 SUPERIO  |             |                      | MITCHELL, TEENA KAY |                  |
| SUITE 1400<br>CLEVELAND, OH 44114                      |             |                      | ART UNIT            | PAPER NUMBER     |
|  |             |                      | 3771                |                  |
|  |             |                      |                     | ,                |
|  |             |                      | MAIL DATE           | DELIVERY MODE    |
|  |             |                      | 06/27/2007          | PAPER            |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

|   | Application No.   | Applicant(s)   |  |  |  |  |
|---|---|--|--|--|--|--|
|   | 10/601,729  | SPRINKLE ET AL.  |  |  |  |  |
| Office Action Summary   | Examiner  | Art Unit   |  |  |  |  |
|   | Teena Mitchell  | 3771   |  |  |  |  |
| The MAILING DATE of this communication apperiod for Reply   | ppears on the cover sheet   | with the correspondence address  |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REP WHICHEVER IS LONGER, FROM THE MAILING  - Extensions of time may be available under the provisions of 37 CFR 1 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory perio  - Failure to reply within the set or extended period for reply will, by statu Any reply received by the Office later than three months after the mail earned patent term adjustment. See 37 CFR 1.704(b). | DATE OF THIS COMMUN  1.136(a). In no event, however, may  d will apply and will expire SIX (6) Moute, cause the application to become   | IICATION. a reply be timely filed  DNTHS from the mailing date of this communication. ABANDONED (35 U.S.C. § 133). |  |  |  |  |
| Status  |   |  |  |  |  |  |
| 1) Responsive to communication(s) filed on 23   | June 2003.  |  |  |  |  |  |
| 2a) This action is <b>FINAL</b> . 2b) Th  | This action is FINAL. 2b) ☐ This action is non-final.   |  |  |  |  |  |
|   | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. |  |  |  |  |  |
| Disposition of Claims   |   |  |  |  |  |  |
| 4) ⊠ Claim(s) <u>1-25</u> is/are pending in the application 4a) Of the above claim(s) is/are withdrest 5) □ Claim(s) is/are allowed. 6) □ Claim(s) is/are rejected. 7) □ Claim(s) is/are objected to. 8) ⊠ Claim(s) <u>1-25</u> are subject to restriction and/or   | awn from consideration  |  |  |  |  |  |
| Application Papers  |   |  |  |  |  |  |
| 9)☐ The specification is objected to by the Examir  | ner.  |  |  |  |  |  |
| 10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  |   |  |  |  |  |  |
| Applicant may not request that any objection to the   |   |  |  |  |  |  |
| Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the I  | ·   |  |  |  |  |  |
| Priority under 35 U.S.C. § 119  |   |  |  |  |  |  |
| 12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:  1. Certified copies of the priority docume 2. Certified copies of the priority docume 3. Copies of the certified copies of the priority application from the International Bure * See the attached detailed Office action for a list  | nts have been received. nts have been received in iority documents have bee eau (PCT Rule 17.2(a)).   | Application No en received in this National Stage  |  |  |  |  |
| Attachment(s)   | 🗂   |  |  |  |  |  |
| <ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>Information Disclosure Statement(s) (PTO/SB/08)</li> <li>Paper No(s)/Mail Date</li></ol>   | Paper N   | v Summary (PTO-413)<br>o(s)/Mail Date<br>f Informal Patent Application<br>   |  |  |  |  |

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## **DETAILED ACTION**

## Election/Restrictions

Restriction to one of the following inventions is required under 35 U.S.C. 121:

- Claims 1-5, drawn to mask, shell, and cushion classified in class 128, subclass 206.24.
- Claims 6-8, drawn to mask, shell, cushion, strap connector, classified in class 128, subclass 206.27.
- III. Claims 9-22, drawn to mask, shell, forehead strap, forehead strap adjuster, classified in class 128, subclass 207.11.
- IV. Claims 23-25, drawn to mask, shell, cushion, gas inlet, exhalation portion, classified in class 128, subclass 207.12.

The inventions are distinct, each from the other because of the following reasons:

Inventions I and II-IV are related as combination and subcombination. Inventions in this relationship are distinct if it can be shown that (1) the combination as claimed does not require the particulars of the subcombination as claimed for patentability, and (2) that the subcombination has utility by itself or in other combinations (MPEP § 806.05(c)). In the instant case, the combination as claimed does not require the particulars of the subcombination as claimed because Invention I does not require the strap and strap connector of Invention II, Invention I does not require the forehead strap assembly and forehead adjuster of Invention III. Invention I does not require the gas inlet and exhalation portion of Invention IV. The subcombination has separate utility such as a mask for use in a CPAP device or a mask with hood. Invention II does not

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require the forehead strap assembly and forehead adjuster of Invention III. Invention II does not require the require the gas inlet and exhalation portion of Invention IV.

The examiner has required restriction between combination and subcombination inventions. Where applicant elects a subcombination, and claims thereto are subsequently found allowable, any claim(s) depending from or otherwise requiring all the limitations of the allowable subcombination will be examined for patentability in accordance with 37 CFR 1.104. See MPEP § 821.04(a). Applicant is advised that if any claim presented in a continuation or divisional application is anticipated by, or includes all the limitations of, a claim that is allowable in the present application, such claim may be subject to provisional statutory and/or nonstatutory double patenting rejections over the claims of the instant application.

Because these inventions are independent or distinct for the reasons given above and there would be a serious burden on the examiner if restriction is not required because the inventions have acquired a separate status in the art in view of their different classification, restriction for examination purposes as indicated is proper.

Should applicant elect Inventions II or II applicant must further elect a species outlined below.

This application contains claims directed to the following patentably distinct species: I Figures 12-14.

II Figures 16-18.

III Figures 19, 20.

IV Figure 21.

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V Figure 22.

The species are independent or distinct because they further define the combination subcombination outlined above.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, none are generic.

Applicant is advised that a reply to this requirement must include an identification of the species that is elected consonant with this requirement, and a listing of all claims readable thereon, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which depend from or otherwise require all the limitations of an allowable generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species.

MPEP § 809.02(a).

Applicant is advised that the reply to this requirement to be complete must include (i) an election of a species or invention to be examined even though the requirement be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected invention.

The election of an invention or species may be made with or without traverse. To reserve a right to petition, the election must be made with traverse. If the reply does not

distinctly and specifically point out supposed errors in the restriction requirement, the election shall be treated as an election without traverse.

Should applicant traverse on the ground that the inventions or species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the inventions or species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C.103 (a) of the other invention.

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Teena Mitchell whose telephone number is (571) 272-4798. The examiner can normally be reached on Monday-Friday however the examiner is on a flexible schedule.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Justine Yu can be reached on (571) 272-4835. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Teena Mitchell Primary Examiner Art Unit 3771 June 21, 2007 Page 6

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